

# Specification of an Automatic Prover (P3)

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- In the literature, one can see specifications for:
  - programming languages
  - compilers
  - operating systems (rarely)
  - protocols
  - safety critical systems
  - ...
- One never sees specifications for provers
- The proposed specification is made by successive refinements



- a propositional calculus prover

- a **propositional calculus** prover
- a **first order predicate calculus** prover

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- a **first order predicate calculus** prover
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- a **propositional calculus** prover
- a **first order predicate calculus** prover
- an **equality** prover
- a **set theory** prover
- an **arithmetic** prover (not presented here)



- All such provers are important within a **formal method platform**
- The **Rodin Platform** for Event-B: [event-b.org](http://event-b.org)
- P3 is not as general as HOL, Isabelle, COQ, ...
- The **logics of P3** (above) are all **built in**



$$(P \Rightarrow Q) \wedge (\neg R \Rightarrow \neg Q) \Rightarrow (P \Rightarrow R)$$

$$(P \Rightarrow Q) \wedge (\neg R \Rightarrow \neg Q) \Rightarrow (P \Rightarrow R)$$

$$\begin{aligned} & \forall x, y \cdot P(x) \wedge Q(y) \Rightarrow (\exists z \cdot R(z) \wedge S(x, y, z)) \\ & \forall x \cdot Q(x) \vee R(x) \\ & P(a) \\ & \forall y \cdot R(y) \Rightarrow (\exists z \cdot Q(z) \wedge S(a, y, z)) \\ \Rightarrow & \exists x \cdot \forall y \cdot \exists z \cdot S(x, y, z) \end{aligned}$$

$$(P \Rightarrow Q) \wedge (\neg R \Rightarrow \neg Q) \Rightarrow (P \Rightarrow R)$$

$$\begin{aligned} & \forall x, y \cdot P(x) \wedge Q(y) \Rightarrow (\exists z \cdot R(z) \wedge S(x, y, z)) \\ & \forall x \cdot Q(x) \vee R(x) \\ & P(a) \\ & \forall y \cdot R(y) \Rightarrow (\exists z \cdot Q(z) \wedge S(a, y, z)) \\ \Rightarrow & \\ & \exists x \cdot \forall y \cdot \exists z \cdot S(x, y, z) \end{aligned}$$

$$\begin{aligned} & \forall x \cdot P(x) \wedge Q(x) \Rightarrow x = a \vee x = b \\ & \neg R(a) \\ & \forall x \cdot Q(x) \wedge R(x) \Rightarrow P(x) \\ \Rightarrow & \\ & \forall x \cdot Q(x) \wedge R(x) \Rightarrow x = b \end{aligned}$$

$$(P \Rightarrow Q) \wedge (\neg R \Rightarrow \neg Q) \Rightarrow (P \Rightarrow R)$$

$$\begin{aligned} & \forall x, y \cdot P(x) \wedge Q(y) \Rightarrow (\exists z \cdot R(z) \wedge S(x, y, z)) \\ & \forall x \cdot Q(x) \vee R(x) \\ & P(a) \\ & \forall y \cdot R(y) \Rightarrow (\exists z \cdot Q(z) \wedge S(a, y, z)) \\ \Rightarrow & \\ & \exists x \cdot \forall y \cdot \exists z \cdot S(x, y, z) \end{aligned}$$

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$$\begin{aligned} & p \in U \leftrightarrow S \\ & q \in U \leftrightarrow S \\ & f \in S \mapsto T \\ & p ; f = q ; f \\ \Rightarrow & \\ & p = q \end{aligned}$$



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 $n.x/100.f.p$  is the number of man-day for the interactive proofs
- $m$ : number of man-months to perform the interactive proofs.  
 $n.x/100.f.p.20$  is the number of man-month for proving

-  $m = n.x/100.f.p.20$  is the number of **man-months** needed for proving

$n$	100,000	100,000	100,000
$f$	2	2	2
$x$	2.5%	5%	10%
$p$	20	20	20
$m$	<b>3.12</b>	<b>6.25</b>	<b>12.5</b>

This shows the importance **to prove as many automatic proofs as we can**

- Propositional Calculus Prover
- Predicate Calculus Prover
- Equality Prover
- Set Theory prover
- Conclusion

The **propositional calculus** prover

- Transforming the predicate  $P$  into the sequent

$$\vdash \neg P \Rightarrow \perp$$

- Applying **inference rules** of the forms

$$\frac{\dots}{\mathbf{H} \vdash \neg (P \text{ op } Q) \Rightarrow R}$$

$$\frac{\dots}{\mathbf{H} \vdash (P \text{ op } Q) \Rightarrow R}$$

where **op** is one of  $\wedge$ ,  $\vee$ ,  $\Rightarrow$ , and  $\Leftrightarrow$

- Applying some **rewriting rules** to finish up the proof



- Syntax
- Inference rules
- Rewriting rules
- Example

$$\begin{aligned} \textit{predicate} \quad ::= & \top \\ & \perp \\ & \neg \textit{predicate} \\ & \textit{predicate} \wedge \textit{predicate} \\ & \textit{predicate} \vee \textit{predicate} \\ & \textit{predicate} \Rightarrow \textit{predicate} \\ & \textit{predicate} \Leftrightarrow \textit{predicate} \end{aligned}$$

Priorities and parentheses can be used for managing ambiguities.

$$\frac{\vdash \neg P \Rightarrow \perp}{P} \quad \text{INI1}$$

$$\frac{\vdash P_1 \Rightarrow (\dots \Rightarrow (P_n \Rightarrow (\neg Q \Rightarrow \perp)) \dots)}{P_1 \wedge \dots \wedge P_n \Rightarrow Q} \quad \text{INI2}$$

$$\frac{\text{H} \vdash \neg Q \Rightarrow R \quad \text{H} \vdash \neg P \Rightarrow R}{\text{H} \vdash \neg(P \wedge Q) \Rightarrow R} \quad \text{AND1} \qquad \frac{\text{H} \vdash P \Rightarrow (Q \Rightarrow R)}{\text{H} \vdash (P \wedge Q) \Rightarrow R} \quad \text{AND2}$$

$$\frac{\text{H} \vdash \neg P \Rightarrow (\neg Q \Rightarrow R)}{\text{H} \vdash \neg(P \vee Q) \Rightarrow R} \quad \text{OR1} \qquad \frac{\text{H} \vdash P \Rightarrow R \quad \text{H} \vdash Q \Rightarrow R}{\text{H} \vdash (P \vee Q) \Rightarrow R} \quad \text{OR2}$$

$$\frac{H \vdash P \Rightarrow (\neg Q \Rightarrow R)}{H \vdash \neg(P \Rightarrow Q) \Rightarrow R} \quad \text{IMP1}$$

$$\frac{H \vdash \neg P \Rightarrow R \quad H \vdash Q \Rightarrow R}{H \vdash (P \Rightarrow Q) \Rightarrow R} \quad \text{IMP2}$$

$$\frac{H \vdash P \Rightarrow (\neg Q \Rightarrow R) \quad H \vdash \neg P \Rightarrow (Q \Rightarrow R)}{H \vdash \neg(P \Leftrightarrow Q) \Rightarrow R} \quad \text{EQV1}$$

$$\frac{H \vdash P \Rightarrow (Q \Rightarrow R) \quad H \vdash \neg P \Rightarrow (\neg Q \Rightarrow R)}{H \vdash (P \Leftrightarrow Q) \Rightarrow R} \quad \text{EQV2}$$

$$\frac{H \vdash P \Rightarrow R}{H \vdash \neg \neg P \Rightarrow R} \quad \text{NOT}$$

$$\frac{H, \neg P \vdash \text{simplify}(\mathcal{F}(\neg \top))}{H \vdash \neg P \Rightarrow \mathcal{F}(P)} \quad \text{EVL1} \qquad \frac{H, P \vdash \text{simplify}(\mathcal{F}(\top))}{H \vdash P \Rightarrow \mathcal{F}(P)} \quad \text{EVL2}$$

- in EVL1 and EVL2,  $P$  is supposed to be a literal

$$\top \wedge P == P \quad \text{and1}$$

$$P \wedge \top == P \quad \text{and2}$$

$$\neg \top \wedge P == \neg \top \quad \text{and3}$$

$$P \wedge \neg \top == \neg \top \quad \text{and4}$$

$$\top \vee P == \top \quad \text{or1}$$

$$P \vee \top == \top \quad \text{or2}$$

$$\neg \top \vee P == P \quad \text{or3}$$

$$P \vee \neg \top == P \quad \text{or4}$$

$$\top \Rightarrow P == P \quad \text{imp1}$$

$$P \Rightarrow \top == \top \quad \text{imp2}$$

$$\neg \top \Rightarrow P == \top \quad \text{imp3}$$

$$P \Rightarrow \neg \top == \neg P \quad \text{imp4}$$

$$\top \Leftrightarrow P == P \quad \text{eqv1}$$

$$P \Leftrightarrow \top == P \quad \text{eqv2}$$

$$\neg \top \Leftrightarrow P == \neg P \quad \text{eqv3}$$

$$P \Leftrightarrow \neg \top == \neg P \quad \text{eqv4}$$

$(P \Rightarrow Q) \wedge (\neg R \Rightarrow \neg Q) \Rightarrow (P \Rightarrow R)$	INI2
$(P \Rightarrow Q) \Rightarrow ((\neg R \Rightarrow \neg Q) \Rightarrow (\neg(P \Rightarrow R) \Rightarrow \perp))$	IMP2
$\neg P \Rightarrow ((\neg R \Rightarrow \neg Q) \Rightarrow (\neg(P \Rightarrow R) \Rightarrow \perp))$	EVL1
$(\neg R \Rightarrow \neg Q) \Rightarrow (\neg(\neg \top \Rightarrow R) \Rightarrow \perp)$	imp3
$(\neg R \Rightarrow \neg Q) \Rightarrow (\neg \top \Rightarrow \perp)$	imp3
$(\neg R \Rightarrow \neg Q) \Rightarrow \top$	imp2
$\top$	AXM
$Q \Rightarrow ((\neg R \Rightarrow \neg Q) \Rightarrow (\neg(P \Rightarrow R) \Rightarrow \perp))$	EVL2
$(\neg R \Rightarrow \neg \top) \Rightarrow (\neg(P \Rightarrow R) \Rightarrow \perp)$	imp4
$\neg \neg R \Rightarrow (\neg(P \Rightarrow R) \Rightarrow \perp)$	NOT
$R \Rightarrow (\neg(P \Rightarrow R) \Rightarrow \perp)$	EVL2
$\neg(P \Rightarrow \top) \Rightarrow \perp$	imp2
$\neg \top \Rightarrow \perp$	imp3
$\top$	AXM

The first order predicate calculus prover



- Applying the **propositional calculus** rules
- Applying some new **predicate calculus** rewriting and inference rules
- Until one reaches the following sequent:

$$\mathbf{H} \vdash \perp$$

- Trying then to derive a **contradiction** within the set of hypotheses **H**
- Sometimes **restart the process** (proof by cases)

- Syntax
- Rewriting rules
- Inference rules
- Normalisation and Skolemisation
- Mechanism (unit preference strategy)
- Example

This prover is built **on top of the previous one**

$$\begin{aligned} \textit{predicate} & ::= \top \\ & \quad \perp \\ & \quad \neg \textit{predicate} \\ & \quad \textit{predicate} \wedge \textit{predicate} \\ & \quad \textit{predicate} \vee \textit{predicate} \\ & \quad \textit{predicate} \Rightarrow \textit{predicate} \\ & \quad \textit{predicate} \Leftrightarrow \textit{predicate} \\ & \quad \forall \textit{variables} \cdot \textit{predicate} \\ & \quad \exists \textit{variables} \cdot \textit{predicate} \\ \\ \textit{variables} & ::= \textit{identifier} \\ & \quad \textit{identifier}, \textit{variables} \end{aligned}$$

$$\forall x \cdot \forall y \cdot P(x, y) \quad == \quad \forall x, y \cdot P(x, y) \quad \text{grp1}$$

$$\exists x \cdot \exists y \cdot P(x, y) \quad == \quad \exists x, y \cdot P(x, y) \quad \text{grp2}$$

$$\frac{H \vdash \neg P \Rightarrow R}{H \vdash \neg (\forall x \cdot P) \Rightarrow R} \quad \text{ALL1}$$

$$\frac{H, \text{normalise } (\forall x \cdot P) \vdash R}{H \vdash (\forall x \cdot P) \Rightarrow R} \quad \text{ALL2}$$

$$\frac{H, \text{normalise } (\forall x \cdot \neg P) \vdash R}{H \vdash \neg (\exists x \cdot P) \Rightarrow R} \quad \text{XST1}$$

$$\frac{H \vdash P \Rightarrow R}{H \vdash (\exists x \cdot P) \Rightarrow R} \quad \text{XST2}$$

- In rules **ALL1** and **XST2**,  $x$  is supposed to be **not free in H and R**
- **normalise** explained in next slide

1. The first form corresponds to the following (with  $n > 1$ ):

$$\forall x \cdot \neg (L_1(x) \wedge \dots \wedge L_i(x) \wedge \dots \wedge L_n(x))$$

where each predicate  $L_i(x)$  is a literal.

2. The second form corresponds to the following:

$$\forall x \cdot L(x)$$

where the predicate  $L(x)$  is a literal.

Introducing **once** a Double Negation at the Outermost Level

$$\forall x \cdot P(x) \quad == \quad \forall x \cdot \neg \neg P(x)$$

Removing Implications and Equivalences

$$P \Rightarrow Q \quad == \quad \neg P \vee Q \quad \quad P \Leftrightarrow Q \quad == \quad (\neg P \vee Q) \wedge (P \vee \neg Q)$$

Moving down Negations

$$\neg \neg P \quad == \quad P \quad (\text{outside outermost level})$$

$$\neg (P \wedge Q) \quad == \quad \neg P \vee \neg Q$$

$$\neg (P \vee Q) \quad == \quad \neg P \wedge \neg Q$$

$$\neg \forall x \cdot P(x) \quad == \quad \exists x \cdot \neg P(x)$$

$$\neg \exists x \cdot P(x) \quad == \quad \forall x \cdot \neg P(x)$$

## Moving up Disjunctions

$$P \wedge (Q \vee R) \quad == \quad (P \wedge Q) \vee (P \wedge R)$$

$$(P \vee Q) \wedge R \quad == \quad (P \wedge R) \vee (Q \wedge R)$$

$$\exists x \cdot P(x) \vee Q(x) \quad == \quad (\exists x \cdot P(x)) \vee (\exists x \cdot Q(x))$$

## Removing Disjunctions at the Outermost Level

$$\forall x \cdot \neg (P(x) \vee Q(x)) \quad == \quad (\forall x \cdot \neg P(x)) \wedge (\forall x \cdot \neg Q(x))$$

## Removing Existential Quantifications at the Outermost Level

$$\forall x \cdot \neg (\dots \wedge (\exists y \cdot P(x, y)) \wedge \dots) \quad == \quad \forall x, y \cdot \neg (\dots \wedge P(x, y) \wedge \dots)$$

## Removing Universal Quantifications at the Outermost Level (**Skolemisation**)

$$\forall x \cdot \neg (\dots \wedge (\forall y \cdot P(x, y)) \wedge \dots) \quad == \quad \forall x \cdot \neg (\dots \wedge P(x, \mathbf{f}(x)) \wedge \dots)$$



$$\begin{aligned} & \forall x, y \cdot P(x) \wedge Q(y) \Rightarrow (\exists z \cdot R(z) \wedge S(x, y, z)) \\ & \forall x \cdot Q(x) \vee R(x) \\ & P(a) \\ & \forall y \cdot R(y) \Rightarrow (\exists z \cdot Q(z) \wedge S(a, y, z)) \\ \Rightarrow & \\ & \exists x \cdot \forall y \cdot \exists z \cdot S(x, y, z) \end{aligned}$$

After normalisation and **skolemisation**, we obtain the following:

$$\begin{aligned} 1 : & \quad \forall x, y \cdot \neg (P(x) \wedge Q(y) \wedge \neg R(\mathbf{a}(x, y))) \\ 2 : & \quad \forall x, y \cdot \neg (P(x) \wedge Q(y) \wedge \neg S(x, y, \mathbf{a}(x, y))) \\ 3 : & \quad \forall x \cdot \neg (\neg Q(x) \wedge \neg R(x)) \\ 4 : & \quad P(a) \\ 5 : & \quad \forall y \cdot \neg (R(y) \wedge \neg Q(\mathbf{b}(y))) \\ 6 : & \quad \forall y \cdot \neg (R(y) \wedge \neg S(a, y, \mathbf{b}(y))) \\ 7 : & \quad \forall x, z \cdot \neg S(x, \mathbf{c}(x), z) \end{aligned}$$

Skolemisation has the effect of **cutting hypotheses**

$$\frac{\mathbf{H}, \forall x \cdot P(x), P(E) \vdash \perp}{\mathbf{H}, \forall x \cdot P(x) \vdash \perp} \quad \mathbf{INS}$$

- The problem is now to discover **instantiating expressions  $E$**
- In order to derive a **contradiction**
- We use the "**unit preference strategy**"
- *The Unit Preference Strategy in Theorem Proving* by L. Wos et al.  
Fall Joint Computer Conference, 1964.
- It consists in **diminishing the size** of instantiated hypotheses

- A set SLH made of **Single Literal Hypotheses**:

$$L$$

- A set MLH made of **Multiple Literal Hypotheses** ( $n > 1$ ):

$$\neg (L_1 \wedge \dots \wedge L_n)$$

- A set SUH made of **Single Universal Hypotheses**:

$$\forall x \cdot L(x)$$

- A set MUH made of **Multiple Universal Hypotheses**:

$$\forall x \cdot \neg (L_1(x) \wedge \dots \wedge L_n(x))$$

- 1 :  $\forall x, y \cdot \neg (P(x) \wedge Q(y) \wedge \neg R(a(x, y)))$
- 2 :  $\forall x, y \cdot \neg (P(x) \wedge Q(y) \wedge \neg S(x, y, a(x, y)))$
- 3 :  $\forall x \cdot \neg (\neg Q(x) \wedge \neg R(x))$
- 4 :  $P(a)$
- 5 :  $\forall y \cdot \neg (R(y) \wedge \neg Q(b(y)))$
- 6 :  $\forall y \cdot \neg (R(y) \wedge \neg S(a, y, b(y)))$
- 7 :  $\forall x, z \cdot \neg S(x, c(x), z)$

- **MUH** is made of 1, 2, 3, 5, and 6.
- **SUH** is made of 7.
- **SLH** is made of 4.

- SLH contains  $\perp$ .
- SLH contains  $L$  and  $\neg L$
- SUH contains  $\forall x \cdot L(x)$  and  $\forall y \cdot \neg L(y)$
- SUH contains  $\forall x \cdot L(x)$  and SLH contains  $\neg L(E)$
- SUH contains  $\forall x \cdot \neg L(x)$  and SLH contains  $L(E)$

- In SLH or SUH
  - Check for contradiction (with SLH and SUH)
  - Simplify some MLH or MUH
- In MLH or MUH
  - Check how to simplify it with SLH and SUH

$$\begin{aligned}
 & \forall x, y \cdot P(x) \wedge Q(y) \Rightarrow (\exists z \cdot R(z) \wedge S(x, y, z)) \\
 & \forall x \cdot Q(x) \vee R(x) \\
 & P(a) \\
 & \forall y \cdot R(y) \Rightarrow (\exists z \cdot Q(z) \wedge S(a, y, z)) \\
 \Rightarrow & \\
 & \exists x \cdot \forall y \cdot \exists z \cdot S(x, y, z)
 \end{aligned}$$

After normalisation, we obtain the following:

- 1 :  $\forall x, y \cdot \neg (P(x) \wedge Q(y) \wedge \neg R(\mathbf{a}(x, y)))$
- 2 :  $\forall x, y \cdot \neg (P(x) \wedge Q(y) \wedge \neg S(x, y, \mathbf{a}(x, y)))$
- 3 :  $\forall x \cdot \neg (\neg Q(x) \wedge \neg R(x))$
- 4 :  $P(a)$
- 5 :  $\forall y \cdot \neg (R(y) \wedge \neg Q(\mathbf{b}(y)))$
- 6 :  $\forall y \cdot \neg (R(y) \wedge \neg S(a, y, \mathbf{b}(y)))$
- 7 :  $\forall x, z \cdot \neg S(x, \mathbf{c}(x), z)$

- 1 :  $\forall x, y \cdot \neg (P(x) \wedge Q(y) \wedge \neg R(\mathbf{a}(x, y)))$
- 2 :  $\forall x, y \cdot \neg (P(x) \wedge Q(y) \wedge \neg S(x, y, \mathbf{a}(x, y)))$
- 3 :  $\forall x \cdot \neg (\neg Q(x) \wedge \neg R(x))$
- 4 :  $P(a)$
- 5 :  $\forall y \cdot \neg (R(y) \wedge \neg Q(\mathbf{b}(y)))$
- 6 :  $\forall y \cdot \neg (R(y) \wedge \neg S(a, y, \mathbf{b}(y)))$
- 7 :  $\forall x, z \cdot \neg S(x, \mathbf{c}(x), z)$

We obtain the following instantiations:

- 8 :  $\forall y \cdot \neg (Q(y) \wedge \neg R(\mathbf{a}(a, y)))$  (1, 4)
- 9 :  $\forall y \cdot \neg (Q(y) \wedge \neg S(a, y, \mathbf{a}(a, y)))$  (2, 4)
- 10 :  $\forall x \cdot \neg (P(x) \wedge Q(\mathbf{c}(x)))$  (2, 7)
- 11 :  $\neg R(\mathbf{c}(a))$  (6, 7)
- 12 :  $\neg Q(\mathbf{c}(a))$  (9, 7)
- 13 :  $Q(\mathbf{c}(a))$  (3, 11)

Contradiction between 12 and 13.



The **equality** prover

- Apply propositional and predicate calculus rules
- Use specific equality rules

- Syntax
- Inference rules
- "One point" rule
- Example

This prover is built **on top of the previous one**

<i>predicate</i>	::=	$\top$ $\perp$ $\neg$ <i>predicate</i> <i>predicate</i> $\wedge$ <i>predicate</i> <i>predicate</i> $\vee$ <i>predicate</i> <i>predicate</i> $\Rightarrow$ <i>predicate</i> <i>predicate</i> $\Leftrightarrow$ <i>predicate</i> $\forall$ <i>variables</i> $\cdot$ <i>predicate</i> $\exists$ <i>variables</i> $\cdot$ <i>predicate</i> <i>expression</i> = <i>expression</i>
<i>variables</i>	::=	<i>identifier</i> <i>identifier</i> , <i>variables</i>
<i>expression</i>	::=	<i>identifier</i> <i>expression</i> $\mapsto$ <i>expression</i>

$$\frac{}{\mathcal{H} \vdash \neg (E=E) \Rightarrow P} \text{EQL2}$$

$$\frac{\mathcal{H} \vdash P}{\mathcal{H} \vdash E=E \Rightarrow P} \text{EQL1}$$

$$\frac{\mathcal{H}(F) \vdash \mathcal{P}(F)}{\mathcal{H}(x) \vdash x=F \Rightarrow \mathcal{P}(x)} \text{EQL3}$$

$$\frac{\mathcal{H}(F) \vdash \mathcal{P}(F)}{\mathcal{H}(x) \vdash F=x \Rightarrow \mathcal{P}(x)} \text{EQL4}$$

where  $x$  is a constant which is not free in  $F$

- Equality between pairs
- Applying an equality between expressions

For **universally** quantified predicates:

$$\begin{array}{l} \forall y, \dots, \mathbf{x}, \dots, z \cdot P(y, \dots, \mathbf{x}, \dots, z) \\ \quad \mathbf{x} = \mathbf{E} \\ Q(y, \dots, \mathbf{x}, \dots, z) \\ \Rightarrow \\ R(y, \dots, \mathbf{x}, \dots, z) \end{array} \quad == \quad \begin{array}{l} \forall y, \dots, z \cdot P(y, \dots, \mathbf{E}, \dots, z) \\ Q(y, \dots, \mathbf{E}, \dots, z) \\ \Rightarrow \\ R(y, \dots, \mathbf{E}, \dots, z) \end{array}$$

For **existentially** quantified predicates:

$$\begin{array}{l} \exists y, \dots, \mathbf{x}, \dots, z \cdot P(y, \dots, \mathbf{x}, \dots, z) \\ \quad \mathbf{x} = \mathbf{E} \\ Q(y, \dots, \mathbf{x}, \dots, z) \end{array} \quad == \quad \begin{array}{l} \exists y, \dots, z \cdot P(y, \dots, \mathbf{E}, \dots, z) \\ Q(y, \dots, \mathbf{E}, \dots, z) \end{array}$$

where variable  $\mathbf{x}$  is not free in  $\mathbf{E}$

Applied during normalisation at the **outermost level BEFORE skolemisation**

$$\begin{aligned} & \forall y, \dots, \mathbf{x}, \dots, z \cdot \neg (P(\mathbf{x}, \dots) \wedge \dots \wedge \mathbf{x} = \mathbf{E} \wedge \dots \wedge Q(\mathbf{x}, \dots)) \\ \underline{\underline{=}} & \forall y, \dots, z \cdot \neg (P(\mathbf{E}, \dots) \wedge \dots \wedge Q(\mathbf{E}, \dots)) \end{aligned}$$



$$\begin{aligned} & \forall x \cdot P(x) \wedge Q(x) \Rightarrow x = a \vee x = b \\ & \neg R(a) \\ & \forall x \cdot Q(x) \wedge R(x) \Rightarrow P(x) \\ \Rightarrow & \\ & \forall x \cdot Q(x) \wedge R(x) \Rightarrow x = b \end{aligned}$$

The normalisation yields the following:

$$\begin{aligned} 1 : & \quad \forall x \cdot \neg (P(x) \wedge Q(x) \wedge x \neq a \wedge x \neq b) \\ 2 : & \quad \neg R(a) \\ 3 : & \quad \forall x \cdot \neg (Q(x) \wedge R(x) \wedge \neg P(x)) \\ 4 : & \quad Q(x) \\ 5 : & \quad R(x) \\ 6 : & \quad x \neq b \end{aligned}$$

- 1 :  $\forall x \cdot \neg (P(x) \wedge Q(x) \wedge x \neq a \wedge x \neq b)$
- 2 :  $\neg R(a)$
- 3 :  $\forall x \cdot \neg (Q(x) \wedge R(x) \wedge \neg P(x))$
- 4 :  $Q(x)$
- 5 :  $R(x)$
- 6 :  $x \neq b$

Instantiations yield:

- |             |              |
|-------------|--------------|
| 7 : $P(x)$  | (4, 5, 3)    |
| 8 : $x = a$ | (1, 7, 4, 6) |
| 9 : $R(a)$  | (5, 8)       |

9 contradicts 2.

The **set theory** prover

- Introducing the **membership** operator  $\in$
- **Translating** membership predicates  $E \in S$  **as much as possible**
- Performing a **predicate calculus proof** of the translated predicate
- Set theory specific mechanisms
- Using the set theory presented in:  
*Modeling in Event-B* by J-R. Abrial. CUP (2010)

- Syntax
- Axioms of set theory
- Operators of set theory
- Examples of translation
- Exploiting types
- Example
- Instantiating set quantified variables (2nd order)
- Partial translations

- This prover is built **on top of the previous one**

<i>predicate</i>	<b>::=</b>	$\top$ $\perp$ $\neg$ <i>predicate</i> <i>predicate</i> $\wedge$ <i>predicate</i> <i>predicate</i> $\vee$ <i>predicate</i> <i>predicate</i> $\Rightarrow$ <i>predicate</i> <i>predicate</i> $\Leftrightarrow$ <i>predicate</i> $\forall$ <i>variables</i> $\cdot$ <i>predicate</i> $\exists$ <i>variables</i> $\cdot$ <i>predicate</i> <i>expression</i> = <i>expression</i> <i>expression</i> $\in$ <i>expression</i>
<i>variables</i>	<b>::=</b>	<i>identifier</i> <i>identifier</i> , <i>variables</i>
<i>expression</i>	<b>::=</b>	<i>identifier</i> <i>expression</i> $\mapsto$ <i>expression</i> <i>expression</i> $\times$ <i>expression</i> $\mathbb{P}(\textit{expression})$ $\{ \textit{variables} \cdot \textit{predicate} \mid \textit{expression} \}$

Predicates of the form  $E \in S$  are **translated** as indicated

Operator	Predicate	Rewritten
Cartesian product	$E \mapsto F \in S \times T$	$E \in S \wedge F \in T$
Power set	$E \in \mathbb{P}(S)$	$\forall x \cdot x \in E \Rightarrow x \in S$
Set comprehension	$E \in \{ x \cdot P \mid F \}$	$\exists x \cdot P \wedge F = E$
Set equality	$S = T$	$S \in \mathbb{P}(T) \wedge T \in \mathbb{P}(S)$

Variable  $x$  is not free in  $E$  and  $S$

Predicates of the form  $E \in S$  are translated as indicated

Operator	Predicate	Rewritten
Inclusion	$S \subseteq T$	$S \in \mathbb{P}(T)$
Union	$E \in S \cup T$	$E \in S \vee E \in T$
Intersection	$E \in S \cap T$	$E \in S \wedge E \in T$
Difference	$E \in S \setminus T$	$E \in S \wedge \neg(E \in T)$
Extension	$E \in \{a, \dots, b\}$	$E = a \vee \dots \vee E = b$
Empty set	$E \in \emptyset$	$\perp$



Predicates of the form  $E \in S$  are translated as indicated

Operator	Predicate	Rewritten
Binary relations	$r \in S \leftrightarrow T$	$r \subseteq S \times T$
Converse	$E \mapsto F \in r^{-1}$	$F \mapsto E \in r$
Relational Image	$F \in r[U]$	$\exists x \cdot x \in U \wedge x \mapsto F \in r$
Forward composition	$E \mapsto F \in f ; g$	$\exists x \cdot E \mapsto x \in f \wedge x \mapsto F \in g$

Variable  $x$  is not free in  $E, F, U, r, f,$  and  $g$

Predicates of the form  $E \in S$  are translated as indicated

Operator	Predicate	Rewritten
Identity	$E \mapsto F \in \text{id}$	$E = F$
Set of all partial functions	$f \in S \twoheadrightarrow T$	$f \in S \leftrightarrow T \wedge f^{-1}; f \subseteq \text{id}$
Set of all total functions	$f \in S \rightarrow T$	$f \in S \twoheadrightarrow T \wedge S = \text{dom}(f)$
Set of all partial injections	$f \in S \mapsto T$	$f \in S \twoheadrightarrow T \wedge f^{-1} \in T \twoheadrightarrow S$
Set of all total injections	$f \in S \hookrightarrow T$	$f \in S \rightarrow T \wedge f^{-1} \in T \twoheadrightarrow S$
Set of all partial surjections	$f \in S \twoheadrightarrow T$	$f \in S \twoheadrightarrow T \wedge T = \text{ran}(f)$
Set of all total surjections	$f \in S \twoheadrightarrow T$	$f \in S \rightarrow T \wedge T = \text{ran}(f)$
Set of all bijections	$f \in S \xrightarrow{\sim} T$	$f \in S \hookrightarrow T \wedge f \in S \twoheadrightarrow T$

The following predicate:

$$r \in S \leftrightarrow T \wedge a \subseteq S \wedge b \subseteq S \Rightarrow r[a \cup b] = r[a] \cup r[b]$$

is translated to:

$$\forall x, y \cdot x \mapsto y \in r \Rightarrow x \in S \wedge y \in T$$

$$\forall x \cdot x \in a \Rightarrow x \in S$$

$$\forall x \cdot x \in b \Rightarrow x \in S$$

$\Rightarrow$

$$\forall x \cdot (\exists x_0 \cdot (x_0 \in a \vee x_0 \in b) \wedge x_0 \mapsto x \in r)$$

$\Leftrightarrow$

$$(\exists x_0 \cdot x_0 \in a \wedge x_0 \mapsto x \in r) \vee (\exists x_0 \cdot x_0 \in b \wedge x_0 \mapsto x \in r)$$

The following predicate:

$$f \in S \mapsto T \wedge p \in U \leftrightarrow S \wedge q \in U \leftrightarrow S \wedge p; f = q; f \Rightarrow p = q$$

is translated to:

$$\forall x, y \cdot x \mapsto y \in f \Rightarrow x \in S \wedge y \in T$$

$$\forall x, x_0, x_1 \cdot x \mapsto x_0 \in f \wedge x \mapsto x_1 \in f \Rightarrow x_0 = x_1$$

$$\forall x \cdot \exists x_0 \cdot x \mapsto x_0 \in f$$

$$\forall x, x_0, x_1 \cdot x_0 \mapsto x \in f \wedge x_1 \mapsto x \in f \Rightarrow x_0 = x_1$$

$$\forall x, y \cdot x \mapsto y \in p \Rightarrow x \in U \wedge y \in S$$

$$\forall x, y \cdot x \mapsto y \in q \Rightarrow x \in U \wedge y \in S$$

$$\forall x, x_0 \cdot (\exists x_1 \cdot x \mapsto x_1 \in p \wedge x_1 \mapsto x_0 \in f)$$

$$\Leftrightarrow$$

$$(\exists x_1 \cdot x \mapsto x_1 \in q \wedge x_1 \mapsto x_0 \in f)$$

$$\Rightarrow$$

$$\forall x, y \cdot x \mapsto y \in p \Leftrightarrow x \mapsto y \in q$$

Given the following statement:

$$\begin{aligned} r &\in S \leftrightarrow T \\ a &\subseteq S \\ b &\subseteq T \\ \Rightarrow \\ r[a] &\subseteq b \Leftrightarrow a \subseteq S \setminus r^{-1}[T \setminus b] \end{aligned}$$

we can determine the **types** of its components as follows:

$$\begin{aligned} \mathbf{type}(r) &= \mathbb{P}(S \times T) \\ \mathbf{type}(S) &= \mathbb{P}(S) \\ \mathbf{type}(T) &= \mathbb{P}(T) \\ \mathbf{type}(a) &= \mathbb{P}(S) \\ \mathbf{type}(b) &= \mathbb{P}(T) \end{aligned}$$

They are all determined from the **carrier sets**  $S$  and  $T$

Defining carrier sets as **basic types**:

$$cs : S \ T$$

$$r \in S \leftrightarrow T$$

$$a \subseteq S$$

$$b \subseteq T$$

$\Rightarrow$

$$r[a] \subseteq b \Leftrightarrow a \subseteq S \setminus r^{-1}[T \setminus b]$$

Syntax for types:

$$\begin{array}{l} type ::= carrier\_set \\ type \times type \\ \mathbb{P}(type) \end{array}$$

- Because of typing, set theoretic statements are **richer** than pure Predicate Calculus statements
- Instantiating a variable with an expression **requires that they have both the same type**
- Two effects:
  - avoiding wrong instantiations
  - allowing more instantiations

$$\begin{aligned} &cs : S \ T \ U \\ &f \in S \mapsto T \\ &g \in T \mapsto U \\ \Rightarrow \\ &f ; g \in S \mapsto U \end{aligned}$$

The translation yields:

$$\begin{aligned} &\forall x, x_0, x_1 \cdot x \mapsto x_0 \in f \wedge x \mapsto x_1 \in f \Rightarrow x_1 = x_0 \\ &\forall x, x_0, x_1 \cdot x \mapsto x_0 \in g \wedge x \mapsto x_1 \in g \Rightarrow x_1 = x_0 \\ \Rightarrow \\ &\forall x, x_0, x_1 \cdot \exists x_1 \cdot x \mapsto x_1 \in f \wedge x_1 \mapsto x_0 \in g \\ &\quad \exists x_0 \cdot x \mapsto x_0 \in f \wedge x_0 \mapsto x_1 \in g \\ \Rightarrow \\ &x_1 = x_0 \end{aligned}$$



$$\begin{aligned}
 & \forall x, x_0, x_1 \cdot x \mapsto x_0 \in f \wedge x \mapsto x_1 \in f \Rightarrow x_1 = x_0 \\
 & \forall x, x_0, x_1 \cdot x \mapsto x_0 \in g \wedge x \mapsto x_1 \in g \Rightarrow x_1 = x_0 \\
 \Rightarrow & \\
 & \forall x, x_0, x_1 \cdot \exists x_1 \cdot x \mapsto x_1 \in f \wedge x_1 \mapsto x_0 \in g \\
 & \quad \exists x_0 \cdot x \mapsto x_0 \in f \wedge x_0 \mapsto x_1 \in g \\
 \Rightarrow & \\
 & \quad x_1 = x_0
 \end{aligned}$$

The normalisation and skolemisation yields:

- 1 :  $\forall x, x_0, x_1 \cdot \neg (x \mapsto x_0 \in f \wedge x \mapsto x_1 \in f \wedge x_1 \neq x_0)$
- 2 :  $\forall x, x_0, x_1 \cdot \neg (x \mapsto x_0 \in g \wedge x \mapsto x_1 \in g \wedge x_1 \neq x_0)$
- 3 :  $a \mapsto d \in f$
- 4 :  $d \mapsto b \in g$
- 5 :  $a \mapsto e \in f$
- 6 :  $e \mapsto c \in g$
- 7 :  $b \neq c$

- 1 :  $\forall x, x_0, x_1 \cdot \neg (x \mapsto x_0 \in f \wedge x \mapsto x_1 \in f \wedge x_0 \neq x_1)$
- 2 :  $\forall x, x_0, x_1 \cdot \neg (x \mapsto x_0 \in g \wedge x \mapsto x_1 \in g \wedge x_0 \neq x_1)$
- 3 :  $a \mapsto d \in f$
- 4 :  $d \mapsto b \in g$
- 5 :  $a \mapsto e \in f$
- 6 :  $e \mapsto c \in g$
- 7 :  $b \neq c$

We obtain the following successive instantiations:

- 8 :  $\forall x_1 \cdot \neg (a \mapsto x_1 \in f \wedge d \neq x_1)$  (1, 3)
- 9 :  $\forall x_1 \cdot \neg (e \mapsto x_1 \in g \wedge c \neq x_1)$  (2, 6)
- 10 :  $d = e$  (8, 5)
- 11 :  $e \mapsto b \notin g$  (9, 7)
- 12 :  $d \mapsto b \notin g$  (10, 11)

12 contradicts 4.

- **Instantiating** set quantified variables: **2nd order statements**
- **Partial translation** of set theoretic statements
- Both extensions proposed by **Dominique Cansell**

$$\begin{aligned}
 &cs : S \\
 &r \in S \leftrightarrow S \\
 &\forall p \cdot p \subseteq r^{-1}[p] \Rightarrow p = \emptyset \\
 &\forall x \cdot r[\{x\}] \subseteq q \Rightarrow x \in q \\
 &x \in S \\
 &\Rightarrow \\
 &x \in q
 \end{aligned}$$

The normalisation and skolemisation yields the following :

- 1 :  $\forall p, x \cdot \neg (\mathbf{a}(p) \notin p \wedge x \in p)$
- 2 :  $\forall p, x, x0 \cdot \neg (x0 \in p \wedge \mathbf{a}(p) \mapsto x0 \in r \wedge x \in p)$
- 3 :  $\forall x \cdot \neg (x \mapsto \mathbf{b}(x) \notin r \wedge x \notin q)$
- 4 :  $\forall x \cdot \neg (\mathbf{b}(x) \in q \wedge x \notin q)$
- 5 :  $x \notin q$

-  $p$  is a set quantified variable: its type is  $\mathbb{P}(S)$

- 1 :  $\forall p, x \cdot \neg (\mathbf{a}(p) \notin p \wedge x \in p)$
- 2 :  $\forall p, x, x0 \cdot \neg (x0 \in p \wedge \mathbf{a}(p) \mapsto x0 \in r \wedge x \in p)$
- 3 :  $\forall x \cdot \neg (x \mapsto \mathbf{b}(x) \notin r \wedge x \notin q)$
- 4 :  $\forall x \cdot \neg (\mathbf{b}(x) \in q \wedge x \notin q)$
- 5 :  $x \notin q$

Quantified variable  $x$  and constant  $x$  have the same type, we obtain:

$$6 : \forall p \cdot \neg (\mathbf{a}(p) \notin p \wedge x \in p)$$

- 1 :  $\forall p, x \cdot \neg (\mathbf{a}(p) \notin p \wedge x \in p)$
- 2 :  $\forall p, x, x_0 \cdot \neg (x_0 \in p \wedge \mathbf{a}(p) \mapsto x_0 \in r \wedge x \in p)$
- 3 :  $\forall x \cdot \neg (x \mapsto \mathbf{b}(x) \notin r \wedge x \notin q)$
- 4 :  $\forall x \cdot \neg (\mathbf{b}(x) \in q \wedge x \notin q)$
- 5 :  $x \notin q$
- 6 :  $\forall p \cdot \neg (\mathbf{a}(p) \notin p \wedge x \in p)$

- Suppose that we can instantiate  $p$  with  $\{x \mid P(x)\}$  in 6.
- Then the predicate  $x \in p$  in 6 becomes  $P(x)$ .
- **By instantiating  $p$  with  $\{x \mid x \notin q\}$  in 6, we obtain (thanks to 5):**

$$7 : \mathbf{a}(Q) \notin q$$

where  $Q$  denotes the set  $\{x \mid x \notin q\}$ .

- 1 :  $\forall p, x \cdot \neg (\mathbf{a}(p) \notin p \wedge x \in p)$
- 2 :  $\forall p, x, x0 \cdot \neg (x0 \in p \wedge \mathbf{a}(p) \mapsto x0 \in r \wedge x \in p)$
- 3 :  $\forall x \cdot \neg (x \mapsto \mathbf{b}(x) \notin r \wedge x \notin q)$
- 4 :  $\forall x \cdot \neg (\mathbf{b}(x) \in q \wedge x \notin q)$
- 5 :  $x \notin q$
- 6 :  $\forall p \cdot \neg (\mathbf{a}(p) \notin p \wedge x \in p)$
- 7 :  $\mathbf{a}(Q) \notin q$

More instantiations:

- 8 :  $\mathbf{a}(Q) \mapsto \mathbf{b}(\mathbf{a}(Q)) \in r$  (3, 7)
- 9 :  $\mathbf{b}(\mathbf{a}(Q)) \in q$  (8, 5, 2)
- 10 :  $\mathbf{a}(Q) \in q$  (9, 4)

10 contradicts 7.

$$\begin{aligned}
 &cs : S \\
 &f \subseteq \mathbb{P}(S) \\
 &M \cup A \in f \\
 &\forall X, Y \cdot X \in f \wedge X \subseteq Y \Rightarrow Y \in f \\
 \Rightarrow & \\
 &M \cup (A \cup B) \in f
 \end{aligned}$$

The translation yields:

$$\begin{aligned}
 &M \cup A \in f \\
 &\forall X, Y \cdot X \in f \wedge (\forall x \cdot x \in X \Rightarrow x \in Y) \Rightarrow Y \in f \\
 \Rightarrow & \\
 &M \cup (A \cup B) \in f
 \end{aligned}$$

$M \cup A \in f$  and  $M \cup (A \cup B) \in f$  cannot be translated.



We continue with the proof. Normalisation yields:

$$1 : M \cup A \in f$$

$$2 : \forall X, Y \cdot \neg (\mathbf{a}(X, Y) \notin X \wedge X \in f \wedge Y \notin f)$$

$$3 : \forall X, Y \cdot \neg (\mathbf{a}(X, Y) \in Y \wedge X \in f \wedge Y \notin f)$$

$$4 : M \cup (A \cup B) \notin f$$

We obtain the following instantiations:

$$T \in M \cup A$$

$$T \notin M \cup (A \cup B)$$

where  $T$  stands for  $\mathbf{a}(M \cup A, M \cup (A \cup B))$ .

- These are put down in the goal (see next slide)

$$T \notin M \cup (A \cup B) \Rightarrow (T \in M \cup A \Rightarrow \perp)$$

and then translated yielding:

$$T \notin M \wedge T \notin A \wedge T \notin B \Rightarrow (T \in M \vee T \in A \Rightarrow \perp)$$

We obtain the following hypotheses:

$$\begin{array}{l} 5 : T \notin M \\ 6 : T \notin A \\ 7 : T \notin B \end{array}$$

This results in the following goal:

$$\neg T \vee \neg T \Rightarrow \perp$$

reducing to  $\top$ .

- Constructing an **independent** proof checker
- Detecting which **hypotheses are used** in a proof

- We presented a series of **embedded provers**
- Implementation (in Java) is an **on-going project**
- Development so far is **encouraging**.
- **Exercises** of predicate calculus are **all proved** in:  
*Mathematical Logic: Applications and Theory*  
by J.E. Rubin. Saunders College Publishing (1990)