

EB[ASTD]: Meta-modelling framework for ASTD

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1 Introduction

- Motivation
- Definition
- Related work

2 An algebraic meta-model for ASTD

- Background
- The core meta-theory
- Two instantiation mechanisms : Deep and Shallow

3 Proof-based reasoning

- Proof obligations
- Soundness

4 Conclusion

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Motivation

ASTD is a graphical notation that combines

- Statechart : hierarchy, AND-states, OR-states..
- Process algebra : concurrency, compositionality

which allows

- **modularity**
- **expressiveness**
- adding variables
- an operational semantics

How to reason on ASTD models ?

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ASTD – Algebraic State Transition Diagram

ASTD is :

- a graphical *state-based* formalism
- built *incrementally* by applying **compositional** operator

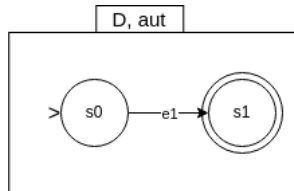


Figure – $D = \text{Automaton}()$

ASTD – Algebraic State Transition Diagram

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- equipped with *sequent-based* transition rules [5, 6]
- applied on intrusion detection and autonomous vehicle [14, 4, 11]

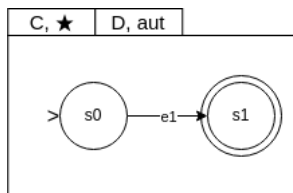


Figure – $C = \text{Closure}(D)$

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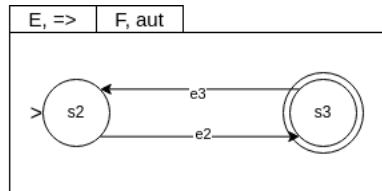
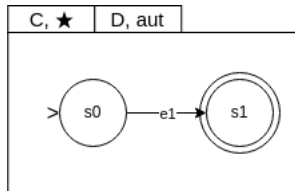


Figure – Two ASTDs C and E

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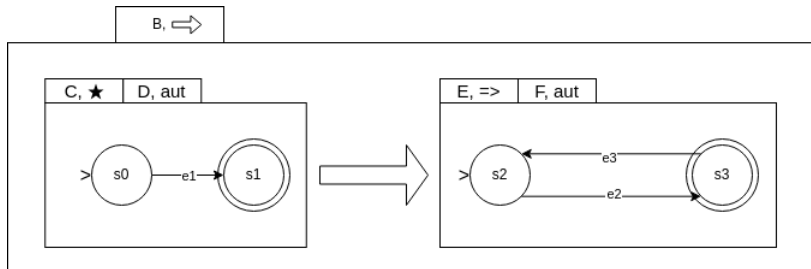


Figure – $B = \text{Sequence}(C, E)$

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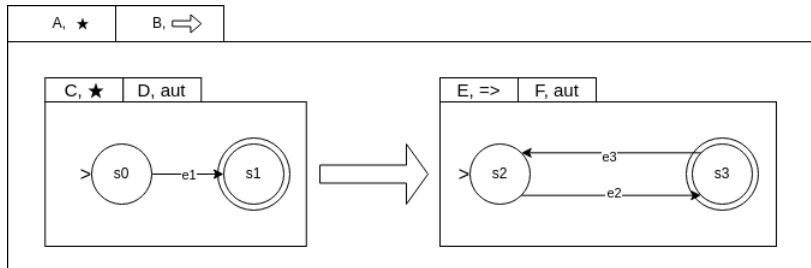


Figure – Final ASTD

Exploit an ASTD specification

Interesting **research directions** based on ASTD features

- graphical formalism $\implies$ Visualization
- operational semantics $\implies$ Animation
- state variables $\implies$ Invariants
- state-based $\implies$ Advanced properties (for eg. Liveness)

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All above need a developing environment for ASTD
but developing from scratch is cumbersome...

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$\implies$ Embedding ASTD in a unified modelling framework

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Existing approaches for ASTDs

cASTD is a *compiler* that generates C++ code based on a given specification. [15, 3]

- + It can **animate** an ASTD for **validation** purpose
- *Poor* animation features (no backtracking, traces, ...)

ASTD2EB is a *model to text* transformation where compositional operators become a **pattern** in Event-B. [12]

- + Rodin tool support and proof assistant
- *Loss* of hierarchy structure

pASTD : provides definition of **invariants** and **POs**. It is a java plugin that transfer POs in Event-B [8]

- + easier to prove
- loss of traceability
- POs are defined (but no soundness)

Position of our work...

Despite advances in incorporating features, ASTD tools

- have primarily focused on **design** and **testing**
- based on ***ad hoc* model transformation**
- that lost **hierarchical structure** of the original ASTD



- The power of ASTD is ***not* exploited** by target language
- Spec error is **difficult to trace back** to the original ASTD

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Only manipulation of ASTD instances

⇒ ***mechanize*** its semantics to manipulate ASTDs as first order objects for analysis (animation, ..), reasoning (proof,..)

Formalised embedding approaches

We are inspired from **meta-modelling** approaches, such as :

- **MetaCoq** project to build a meta-programming environment, implemented in CertiCoq [19, 2]
- Modelling HOL models in **Isabelle/HOL** [9]
- **B** models embedded in **PVS** [13]
- Event-B Machine encoded in **CSP** [10, 18]
- **EB4EB**, a reflexive framework for advanced **reasoning** and **analysis** on Event-B machine in Event-B [17, 16]

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In the same spirit of EB4EB, we *invite* the semantics of ASTD in Event-B as algebraic theory.

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Modelling language – Event-B [1]

Correct-by-construction, state-based formal method, refinement. Two components : **Contexts** and **Machines**

CONTEXT Ctx	MACHINE M
SETS s	SEES Ctx
CONSTANTS c	VARIABLES x
AXIOMS A	INVARIANTS $I(x)$
THEOREMS T_{ctx}	THEOREMS $T_{mch}(x)$
END	VARIANT $V(x)$
	EVENTS
	INITIALISATION $\triangleq$
	...
	$evt \triangleq$
	ANY α
	WHERE $G(x, \alpha)$
	THEN
	$x \mid BAP(\alpha, x, x')$
	END
	END

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- **Automatic** generation of POs.
- **Rodin** $\implies$ Editor + POs generator + automatic/interactive provers

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CONTEXT C_{tx} SETS s CONSTANTS c AXIOMS A THEOREMS T_{ctx} END	MACHINE M SEES C_{tx} VARIABLES x INVARIANTS $I(x)$ THEOREMS $T_{mch}(x)$ VARIANT $V(x)$ EVENTS INITIALISATION $\triangleq$... $evt \triangleq$ ANY α WHERE $G(x, \alpha)$ THEN $x \mid BAP(\alpha, x, x')$ END END
--	--

Theorems Context (THM_{ctx})	$A \Rightarrow T_{ctx}$
Theorems machine (THM_{mch})	$A \wedge I(x) \Rightarrow T_{mch}(x)$
Initialisation (INIT)	$A \wedge AP(\alpha, x') \Rightarrow I(x')$
Invariant preservation (INV)	$A \wedge I(x) \wedge G(x, \alpha) \wedge BAP(x, \alpha, x') \Rightarrow I(x')$
Event feasibility (FIS)	$A \wedge I(x) \wedge G(x, \alpha) \Rightarrow \exists x' \cdot BAP(x, \alpha, x')$
Variant progress (VAR)	$A \wedge I(x) \wedge G(x, \alpha) \wedge BAP(x, \alpha, x') \Rightarrow V(x') < V(x)$

Event-B extension [7]

Formalisation of new data-types for Event-B $\implies$ Theories

```
THEORY Th
IMPORT Th1, ...

END
```

Event-B extension [7]

Formalisation of new data-types for Event-B $\Rightarrow$ Theories

```
THEORY Th  
IMPORT Th1, ...  
TYPE PARAMETERS E, F, ...
```

```
DATATYPES  
  Type2(E, ...)  
  constructors  
    cstr1( $p_1:T_1, \dots$ )
```

```
OPERATORS  
  Op1<nature> ( $p_1:T_1, \dots$ )  
  
  direct definition  $D_1$ 
```

```
END
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- Algebraic definition for data-types $\Rightarrow$ **Constructive** definitions

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AXIOMATIC DEFINITIONS

```

TYPES  $A_1, \dots$ 
OPERATORS
  AOp2<nature> ( $p_1:T_1, \dots$ ): $T_r$ 
  
```

```

AXIOMS  $A_1, \dots$ 
  
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```

END
  
```

- Algebraic definition for data-types $\Rightarrow$ **Constructive** definitions and/or **axiomatic** definitions.

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- **Well-Definedness (WD)** conditions (partial definitions).

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PROOF RULES . . .

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- Relevant proved **theorems**.
- Relevant **inference/rewrite** rules.

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- **Theory Plugin** for Rodin environment.

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ASTDStruct - Import the syntax

Constructive datatype.

- Statename, event, variable
- ASTD operators integrated
- Focus on Sequence

$P(x)$ denoted as $\{x|P(x)\}$
axm of comprehension

```

THEORY ASTDStruct
TYPE PARAMETERS St , Ev , Var
DATA TYPES
  ASTD( St , Ev , Var )
constructors
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  Automaton(...)
  Sequence(
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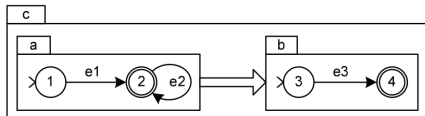
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ASTDStruct - Well definedness conditions

WD conditions are introduced in each ASTD operator

- Constraints on actions, scope, type..
- Group in a single operator

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ASTD_WellTyped predicate ( a : ASTD(St, Ev, Var))

Scope_WellCons predicate ( a : ASTD(St, Ev, Var) ,
    accVar :  $\mathbb{P}(Var)$ )

InitActionS_WellCons predicate ( a : ASTD(St, Ev, Var))

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ASTD_WellCons predicate
( a : ASTD(St, Ev, Var) , accVar :  $\mathbb{P}(Var)$ )
  direct definition
    Automaton_WellCons(a)
     $\wedge$ ASTD_WellTyped(a)
     $\wedge$ Scope_WellCons(a, accVar)
     $\wedge$ InitActionS_WellCons(a)
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ASTDBehavior - Transition rules

Inductive **sequent-based** operational semantics rules. (For Eg. aut_2)

NextState *expression* (

astd : $ASTD(St, Ev, Var)$,

current : $ASTDState(St, Var)$,

$E_e : Var \rightarrow \mathbb{Z}$)

well-definedness condition

$ASTD_WellCons(astd, dom(E_e))$

recursive definition

case *astd*:

Elementary(*inv*) $\Rightarrow \dots$

Automaton(*i, f, ..., mapping*) $\Rightarrow$

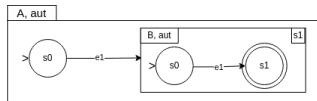
$aut_1 \cup aut_2 \cup aut_3$

Sequence(*fst, snd, attr, initAttr, inv*)

$\Rightarrow \dots$

Closure(*..*) $\Rightarrow \dots$

Guard(*...*) $\Rightarrow \dots$



$$\begin{array}{c}
 \text{aut}_2 \quad \frac{s \xrightarrow{\sigma, E_g, E'_g} a.v(n) \quad s'}{(\text{aut}_o, n, E, s) \xrightarrow{\sigma, E_e, E'_e} a (\text{aut}_o, n, E', s')} \\
 \begin{array}{l}
 E_g = E_e \Leftarrow E \\
 E'_e = E_e \Leftarrow \text{attr} \Leftarrow E'_g \\
 E' = \text{attr} \Leftarrow E'_g
 \end{array}
 \end{array}$$

$\{ \text{aut}_o(n, E', s') \mapsto E'_e \mid n, E, s, s', E'_e, E_g, E'_g, E' \}$

2. $\wedge n = \text{StateName}(\text{current})$

3. $\wedge s = \text{AutSubState}(\text{current})$

4. $\wedge E = \text{AutCache}(\text{current})$

5. $\wedge s' \mapsto E'_g \in \text{NextState}(\text{mapping}(n), \sigma, s, E_g)$

6. $\wedge E_g = E_e \Leftarrow E$

7. $\wedge E'_e = E_e \Leftarrow \text{attr} \Leftarrow E'_g$

8. $\wedge E' = \text{attr} \Leftarrow E'_g$

}

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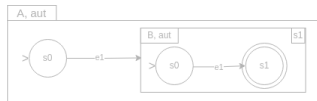
$aut_1 \cup aut_2 \cup aut_3$

Sequence(*fst, snd, attr, initAttr, inv*)

=>

Closure(..) => ...

Guard(...) => ...



$$\begin{array}{c}
 \text{aut}_2 \quad \frac{s \xrightarrow{\sigma, E_g, E'_g} s' \quad E_g = E_e \leftarrow E \quad E'_e = E_e \leftarrow attr \triangleleft E'_g \quad E' = attr \triangleleft E'_g}{(aut_o, n, E, s) \xrightarrow{\sigma, E_e, E'_e} (aut_o, n, E', s')}
 \end{array}$$

$\{ aut_o(n, E', s') \mapsto E'_e \mid n, E, s, s', E'_e, E_g, E'_g, E' \}$

2. $\wedge n = StateName(current)$

3. $\wedge s = AutSubState(current)$

4. $\wedge E = AutCache(current)$

5. $\wedge s' \mapsto E'_g \in NextState(mapping(n), \sigma, s, E_g)$

6. $\wedge E_g = E_e \leftarrow E$

7. $\wedge E'_e = E_e \leftarrow attr \triangleleft E'_g$

8. $\wedge E' = attr \triangleleft E'_g$

ASTDBehavior - Transition rules

Inductive **sequent-based** operational semantics rules. (For Eg. aut_2)

NextState *expression* (

astd : $ASTD(St, Ev, Var)$,

current : $ASTDState(St, Var)$,

$E_e : Var \rightarrow \mathbb{Z}$)

well-definedness condition

$ASTD_WellCons(astd, dom(E_e))$

recursive definition

case *astd*:

Elementary(*inv*) $\Rightarrow \dots$

Automaton(*i, f, ..., mapping*) $\Rightarrow$

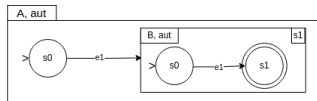
$aut_1 \cup aut_2 \cup aut_3$

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Guard(*...*) $\Rightarrow \dots$



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 E' = \text{attr} \triangleleft E'_g
 \end{array}
 \end{array}$$

```

{ aut_o(n, E', s')  $\mapsto$  E'_e | n, E, s, s', E'_e, E_g, E'_g, E' .
  2.  $\wedge n = \text{StateName}(\text{current})$ 
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  5.  $\wedge s' \mapsto E'_g \in \text{NextState}(\text{mapping}(n), \sigma, s, E_g)$ 
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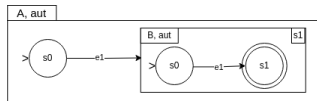
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 \end{array}$$

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{ aut0(n, E', s') ↦ E'_e | n, E, s, s', E'_e, E_g, E'_g, E'
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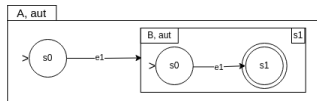
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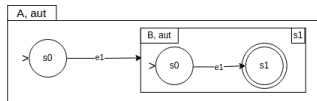
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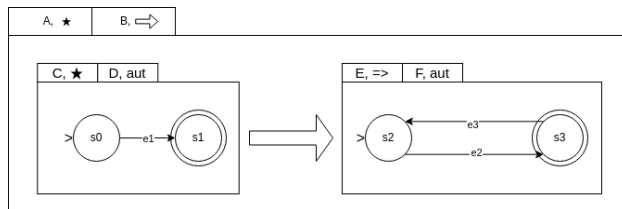
3 Proof-based reasoning

- Proof obligations
- Soundness

4 Conclusion

Modelling specific ASTD as an instance

Back to the example



State variable, actions, invariant are defined :

ASTD	Attributes	Initialisation	Invariant
A	x_A	$x_A := 0$	$x_A \geq 0$
B	x_B	$x_B := x_A + 1$	$x_B > 0$
...			
S3			$x_F > 0 \wedge x_A > 2 * x_E$

Deep Instantiation

CONTEXT IllustrativeExample

CONSTANTS

e1, e2, e3,
s0, s1, s2, s3,
astd, B, C, D, E, F,
xA, xB, xC, xD, xE, xF

AXIOMS

axm1: $\text{partition}(\text{Ev}, \{e1\}, \{e2\}, \{e3\})$
 axm2: $\text{partition}(\text{St}, \{s0\}, \{s1\}, \{s2\}, \{s3\})$
 axm3: $\text{partition}(\text{Var}, \{xA\}, \dots, \{xF\})$
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 axm12: $F = \text{Automaton}(s2, \{s3\}, \dots)$
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THEOREMS

thm_of_WD: $\text{ASTD_WellCons}(\text{root}, \emptyset)$

END

Deep Instantiation

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- Applying datatype constructors as compositional operator

Deep Instantiation

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- Applying datatype constructors as compositional operator
- ASTD consistency is ensured by discharging the *THM_ctx PO* generated for the *thm_of_WD* theorem.

$$axm1 \wedge \dots \wedge axm17 \implies thm_of_WD$$

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ASTD is instantiated as First class citizen

Shallow instantiation

A **generic machine** that interpret ASTD.

- ① The *current* state is initialized by Init
- ② The *progress* event

```

MACHINE    ShallowGenAnim
SEES      ASTD_Ctx
VARIABLES  current
INVARIANTS
  inv1 :  $current \in ASTDState(St, Var)$ 
EVENTS
  INITIALISATION
  THEN
    act1 :  $current := Init(root, \emptyset)$ 
  END
  progress
  ANY  evnt , nxt
  WHERE
    grd1 :  $evnt \in Ev$ 
    grd2 :  $nxt \in NextState(root, evnt, current, \emptyset)$ 
    grd3 :  $NextState(root, evnt, current, \emptyset) \neq \emptyset$ 
  THEN
    act1 :  $current := prj1(nxt)$ 
  END
END
  
```

Listing 1 – Shallow Instantiation

Shallow Instantiation : Animation

1 Introduction

- Motivation
- Definition
- Related work

2 An algebraic meta-model for ASTD

- Background
- The core meta-theory
- Two instantiation mechanisms : Deep and Shallow

3 Proof-based reasoning

- Proof obligations
- Soundness

4 Conclusion

Soundness principle

A proof obligation is a maths formula to be proven associated to the correctness of a given property.

How do I know the proof obligation actually entails the property ?

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Encoding the POs in form of properties on traces.

$$ASTD \vdash PO \implies Spec_ [PO] _ On_ Traces (ASTD)$$

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Invariants case

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$$ASTD \vdash PO_{INV} \implies \underbrace{\forall tr \in Traces(ASTD) : \forall i \geq 0 : INV(tr(i))}_{Spec_ PO_{INV}_ On_Traces(ASTD)}$$

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ASTDPO - Proof Obligations from pASTD

$$ASTD \vdash \boxed{\text{PO}} \implies \forall tr \in \text{Traces}(ASTD) : \forall i \geq 0 : INV(tr(i))$$

Two POs are defined :

- PO_{init} : The invariants hold for the initialization
- PO_{trans} : Transitions preserves invariants

THEORY ASTDPO

IMPORT THEORY ASTDBehaviour

TYPE PARAMETERS *St, Ev, Var*

OPERATORS

POinit *predicate* (*a* : *ASTD*(*St, Ev, Var*), ...)
well-definedness condition
recursive definition ...

POtrans *predicate* (*a* : *ASTD*(*St, Ev, Var*), ...)
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CONTEXT ASTD_Ctx_PO

EXTENDS ASTD_Ctx

THEOREMS

Generation_of_POi : **POinit**(*root*, ...)

Generation_of_POtr : **POtrans**(*root*, ...)

END

Automatic PO generation

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recursive definition ...

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CONTEXT ASTD_Ctx_PO

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ASTDTraces - Trace based semantics

$$ASTD \vdash \mathbf{PO} \implies \forall tr \in \boxed{\text{Traces}(ASTD)} : \forall i \geq 0 : INV(tr(i))$$

A sequence of $s_0 \mapsto \dots \mapsto s_n$ states

- ① s_0 is the **initial state**, i.e.
 $s_0 = \text{Init}(A, \emptyset)$
- ② **consecutive states** link by triggered event
 $\forall i, \exists e \in Ev, s_i \xrightarrow{e} s_{i+1}$
- ③ trace is **infinite** or **finite** and **deadlock**, i.e.
 total/partial function

```

THEORY ASTDTraces
IMPORT THEORY ASTDPO
TYPE PARAMETERS St, Ev, Var
OPERATORS
IsANextState predicate
    (a : ASTD(St, Ev, Var), s, sp : ASTDState(St, Var))
well-definedness condition ASTD_WellCons(a,  $\emptyset$ )
direct definition ...

IsATrace predicate (a : ASTD(St, Ev, Var),
    tr :  $\mathbb{N} \rightarrow ASTDState(St, Var)$ )
well-definedness condition ASTD_WellCons(a,  $\emptyset$ )
direct definition
1- tr(0) = Init(a,  $\emptyset$ )
2-  $\wedge (\forall i, j \cdot i \in \text{dom}(tr) \wedge j \in \text{dom}(tr) \wedge j = i + 1 \implies$ 
    IsANextState(a, tr(i), tr(j)))
3-  $\wedge (tr \in \mathbb{N} \rightarrow ASTDState(St, Var) \vee$  // infinite trace
    ( $\exists n \cdot n \in \mathbb{N} \wedge tr \in 0..n \rightarrow ASTDState(St, Var)$ 
     $\wedge (\neg \text{CanProgress}(a, tr(n)))$ )) // finite trace
  
```

ASTDTraces - Trace based semantics

$$ASTD \vdash \mathbf{PO} \implies \forall tr \in \boxed{\text{Traces}(ASTD)} : \forall i \geq 0 : INV(tr(i))$$

A sequence of $s_0 \mapsto \dots \mapsto s_n$
states

```

THEORY ASTDTraces
IMPORT THEORY ASTDPO
TYPE PARAMETERS St, Ev, Var
OPERATORS
IsNextState predicate
    (a : ASTD(St, Ev, Var), s, sp : ASTDState(St, Var))
well-definedness condition ASTD_WellCons(a,  $\emptyset$ )
direct definition ...

IsATrace predicate (a : ASTD(St, Ev, Var),
    tr :  $\mathbb{N} \rightarrow ASTDState(St, Var)$ )
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1- tr(0) = Init(a,  $\emptyset$ )
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A sequence of $s_0 \mapsto \dots \mapsto s_n$ states

- 1 s_0 is the **initial state**, i.e.
 $s_0 = \text{Init}(A, \emptyset)$

```

THEORY ASTDTraces
IMPORT THEORY ASTDPO
TYPE PARAMETERS St, Ev, Var
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A sequence of $s_0 \mapsto \dots \mapsto s_n$ states

- ① s_0 is the **initial state**, i.e.
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- ② **consecutive states** link by triggered event
 $\forall i, \exists e \in Ev, s_i \xrightarrow{e} s_{i+1}$

```

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ASTDTraces - Trace based semantics

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A sequence of $s_0 \mapsto \dots \mapsto s_n$ states

- ① s_0 is the **initial state**, i.e.
 $s_0 = \text{Init}(A, \emptyset)$
- ② **consecutive states** link by triggered event
 $\forall i, \exists e \in Ev, s_i \xrightarrow{e} s_{i+1}$
- ③ trace is **infinite** or **finite** and **deadlock**, i.e.
 total/partial function

```

THEORY ASTDTraces
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TYPE PARAMETERS St, Ev, Var
OPERATORS
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```

Specification on astd state

Definition of "holding invariants" for an ASTD state.

$$ASTD \vdash \mathbf{PO} \implies \forall tr \in \mathbf{Traces}(ASTD) : \forall i \geq 0 : \mathbf{INV}(tr(i))$$

THEORY ASTDCorrectness

IMPORT THEORY ASTDTraces

TYPE PARAMETERS St, Ev, Var

OPERATORS

InvSpecOnState *predicate* ($a : ASTD(St, Ev, Var)$,
 $s : ASTDState(St, Var)$)

well-definedness condition

recursive definition

Elementary(*inv*) $\Rightarrow$...

Automaton(*i, f, ..., mapping*) $\Rightarrow$...

Sequence(*fst, snd, attr, initAttr, inv*) $\Rightarrow$

Closure(...) $\Rightarrow$...

Guard(...) $\Rightarrow$...

Listing 2 – Definition of satisfying invariants

Ultimate proof of soundness

$$ASTD \vdash \mathbf{PO} \Rightarrow \forall tr \in \mathbf{Traces}(ASTD) : \forall i \geq 0 : \mathbf{INV}(tr(i))$$

thm_of_PO_Correctness:

$\forall astd, tr.$

$astd \in ASTD(St, Ev, Var)$ //

for all astd

$\wedge ASTD_WellCons(astd, \emptyset)$ //

well cons

$\wedge tr \in \mathbb{N} \rightarrow ASTDState(St, Var)$ //

and trace

$\wedge IsATrace(astd, tr)$ //

of the astd

$\wedge POinit(astd, Var \rightarrow \mathbb{Z}, Var \rightarrow \mathbb{Z}, (Var \rightarrow \mathbb{Z}) \triangleleft id)$

$\wedge POtrans(astd, Var \rightarrow \mathbb{Z}, \emptyset)$ //

when POs hold

$\Rightarrow$

$(\forall i \cdot i \in dom(tr) \Rightarrow InvSpecOnState(astd, tr(i)))$

// *every state in the trace satisfies the invariant*

Ultimate proof of soundness

$$ASTD \vdash \mathbf{PO} \Rightarrow \forall tr \in \mathbf{Traces}(ASTD) : \forall i \geq 0 : \mathbf{INV}(tr(i))$$

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$\Rightarrow$

$(\forall i \cdot i \in dom(tr) \Rightarrow InvSpecOnState(astd, tr(i)))$

// *every state in the trace satisfies the invariant*

Discover **incomplete** WD conditions and **minor bugs** in the ASTD manual specification (for eg. variable environment).

1 Introduction

- Motivation
- Definition
- Related work

2 An algebraic meta-model for ASTD

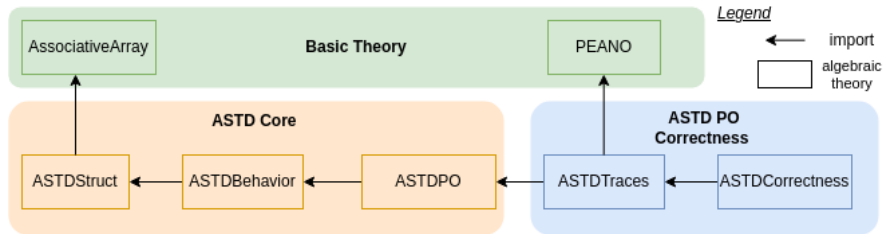
- Background
- The core meta-theory
- Two instantiation mechanisms : Deep and Shallow

3 Proof-based reasoning

- Proof obligations
- Soundness

4 Conclusion

Overview of EB[ASTD] development



Development effort :

- Definition of 7 **Theories**
- 22 **operators** - 17 **theorems**
- 54 Proof obligations (WD/Thm) for proving theories
- Mostly *interactive* proof but automation is possible

Conclusion

The meta-model provides a solid tool for ASTD by :

- establishing a **proof-based** framework to reason on ASTDs
- offering the possibility of **model-checking** (ProB)
- visualising the **animation** (VisB)
- allowing **automatic** generation of POs
- proving the **soundness** w.r.t. trace semantics

All of this are grounded in a unique tool support **Rodin**.

The methodology is also suitable for other (state-based) formalism.

Perspectives

- Handling **refinement** in ASTDs
- Temporal, Security properties on ASTDs (ongoing two PhD thesis..)
- Model to Model transformation ($EB4EB \leftrightarrow EB[ASTD]$)

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Appendices

Des figures, listings, documents complets qui peuvent être appuyer pour répondre au question